| General information about company | | | | | | | |
|--|--------------------------|--|--|--|--|--|--|
| Scrip code | 513472 | | | | | | |
| Name of the company | SIMPLEX CASTINGS LIMITED | | | | | | |
| Whether company is SME | No | | | | | | |
| Class of Security | Equity Shares | | | | | | |
| Type of report | Quarterly | | | | | | |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2017 | | | | | | |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | | | | | | | |
| Shareholding pattern filed under | Regulation 31 (1) (b) | | | | | | |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities? | No |
| 3 | Whether the Listed Entity has issued any Warrants? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

| | | Tab | le I - Sun | nmary S | tatement l | olding of | specified secu | rities | | | |
|--------------|---|--------------|-------------------------|--------------------|--------------------------|---------------------|--|----------------|---------------|-------------|-------------------------|
| | | | No. of | No. Of Partly | No. Of shares | Total nos. | Shareholding as a % of total no. of shares | Number of | | g Rights he | eld in each |
| Category (I) | I) Shareholder | shareholders | fully paid up equity | paid-up equity | underlying Depository | shares held (VII) = | (calculated as per SCRR, | No of Vot | ting (XI | V) Rights | Total as a % of (A+B+C) |
| | (II) | (III) | shares held (IV) | shares held (V) | Receipts (VI) | (IV)+(V)+ (VI) | 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 10 | 3449120 | | | 3449120 | 57.64 | 3449120 | | 3449120 | 57.64 |
| (B) | Public | 3702 | 2535080 | | | 2535080 | 42.36 | 2535080 | | 2535080 | 42.36 |
| (C) | Non Promoter- Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 3712 | 5984200 | | | 5984200 | 100 | 5984200 | | 5984200 | 100 |

| Category (I) Category of shareholder (II) No. Of Shares Shares Underlying Outstanding Convertible No. of Under Shares Underlying Outstanding Convertible Warrants No. Of Shares Underlying Outstanding No. Of | | Shares Underlying | Shares Underlying | No. Of Shares Underlying Outstanding convertible | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in |
|---|---|--|----------------------|---|---|--|------------------------------|--|--|---------------------------------|
| | No. Of Warrants (Xi) | diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. | As a % of total Shares held (b) | No. | As a % of total Shares held (b) | dematerialized form (XIV) | | | |
| (A) | Promoter & Promoter Group | | | | 57.64 | | | | | 3449120 |
| (B) | Public | | | | 42.36 | | | | | 2205674 |
| (C) | Non Promoter- Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 5654794 |

| Sr. | | Nos. Of shareholders (III) | No. of | No. Of Partly | No. Of shares underlying Depository | Total nos. shares held (VII) | Shareholding as a % of total no. of shares (calculated as per SCRR, | Number of Voting Rights held in each class of securities (IX) | | | |
|--|--|----------------------------------|----------------------------|-----------------------------|--|------------------------------------|--|---|---------------|---------|---------------------------------|
| | Category & Name of the Shareholders (I) | | fully paid up equity | paid-up equity shares | | | | No of Voting (XIV) Rig | | | Total as a % |
| | Shareholders (1) | (III) | shares held (IV) | held (V) | Receipts (VI) | (IV)+(V)+ (VI) | 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | of Total Voting rights |
| A | Table II - Statemen | t showing shar | eholding pa | ttern of th | e Promoter a | nd Promoter | Group | ı | , | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 7 | 3155520 | | | 3155520 | 52.73 | 3155520 | | 3155520 | 52.73 |
| (d) | Any Other (specify) | 3 | 293600 | | | 293600 | 4.91 | 293600 | | 293600 | 4.91 |
| Sub-Total (A)(1) | | 10 | 3449120 | | | 3449120 | 57.64 | 3449120 | | 3449120 | 57.64 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2) | | 10 | 3449120 | | | 3449120 | 57.64 | 3449120 | | 3449120 | 57.64 |
| В | Table III - Statemer | nt showing sha | reholding p | attern of t | he Public sha | reholder | • | | | | |
| (1) | Institutions | | | | | | | | | | |
| (a) | Mutual Funds | 1 | 1600 | | | 1600 | 0.03 | 1600 | | 1600 | 0.03 |
| Sub-Total (B)(1) | | 1 | 1600 | | | 1600 | 0.03 | 1600 | | 1600 | 0.03 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 3409 | 1203291 | | | 1203291 | 20.11 | 1203291 | | 1203291 | 20.11 |

| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 9 | 313244 | | | 313244 | 5.23 | 313244 | 313244 | 5.23 |
|--|---|----------------|-------------|-------------|-------------|--------------|-----------------|---------|---------|-------|
| (e) | Any Other (specify) | 283 | 1016945 | | | 1016945 | 16.99 | 1016945 | 1016945 | 16.99 |
| Sub-Total (B)(3) | | 3701 | 2533480 | | | 2533480 | 42.34 | 2533480 | 2533480 | 42.34 |
| Total Public Shareholding (B)=(B) (1)+(B) (2)+(B)(3) | | 3702 | 2535080 | | | 2535080 | 42.36 | 2535080 | 2535080 | 42.36 |
| С | Table IV - Stateme | nt showing sha | reholding p | attern of t | he Non Prom | oter- Non Pu | blic shareholde | r | | |
| Total (A+B+C2) | | 3712 | 5984200 | | | 5984200 | 100 | 5984200 | 5984200 | 100 |
| Total (A+B+C) | | 3712 | 5984200 | | | 5984200 | 100 | 5984200 | 5984200 | 100 |

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized |
|--|---|---|---|--|--|---------------------------------|--|---------------------------------|--|
| | | | | | No. | As a % of total Shares held (b) | No. | As a % of total Shares held (b) | form (XIV) |
| A | Table II - States | nent showing sh | areholding patter | n of the Promoter and | Promo | oter Group |) | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 52.73 | | | | | 3155520 |
| (d) | | | | 4.91 | | | | | 293600 |
| Sub-Total (A)(1) | | | | 57.64 | | | | | 3449120 |
| (2) | Foreign | | | | _ | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2) | | | | 57.64 | | | | | 3449120 |
| В | Table III - State | ement showing s | hareholding patte | rn of the Public shareh | older | | | | |
| (1) | Institutions | | | | | | | | |
| (a) | | | | 0.03 | | | | | 0 |
| Sub-Total (B)(1) | | | | 0.03 | | | | | 0 |
| (3) | Non-institution | s | | | | | | | |
| (a(i)) | | | | 20.11 | | | | | 1003385 |
| (a(ii)) | | | | 5.23 | | | | | 313244 |
| (e) | | | | 16.99 | | | | | 889045 |
| Sub-Total (B)(3) | | | | 42.34 | | | | | 2205674 |

| Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3) | | | | 42.36 | | | | 2205674 |
|--|------------------|---|--|-------|--|--|--|---------|
| С | Table IV - State | Cable IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | 5654794 |
| Total (A+B+C) | | | | 100 | | | | 5654794 |

| | | Indiv | iduals/Hindu | undivided Fa | mily | | |
|---|-------------------|-----------------------------|----------------|-----------------------------|---------------------------|------------------------|-----------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Name of the Shareholders (I) | KETAN SHAH | JAYSHREE SANJIV HARIA | PIYUSH SHAH | KISAN RATILAL CHOKSEY | SANGEETA KETAN SHAH | USHA PIYUSH SHAH | G GOPALSWAMY |
| PAN (II) | AUHPS9944A | AANPH7764F | AKUPS8026Q | AABPC4873G | BLLPS3541K | AMEPS7788R | AQMPS7541J |
| No. of fully paid up equity shares held (IV) | 3104415 | 38300 | 4486 | 3500 | 3225 | 1394 | 200 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 3104415 | 38300 | 4486 | 3500 | 3225 | 1394 | 200 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 51.88 | 0.64 | 0.07 | 0.06 | 0.05 | 0.02 | 0 |
| Number of Voting | Rights held in ea | ch class of securi | ties (IX) | | | | |
| Class eg:X | 3104415 | 38300 | 4486 | 3500 | 3225 | 1394 | 200 |
| Class eg:y | | | | | | | |
| Total | 3104415 | 38300 | 4486 | 3500 | 3225 | 1394 | 200 |
| Total as a % of Total Voting rights | 51.88 | 0.64 | 0.07 | 0.06 | 0.05 | 0.02 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding | | | | | | | |

| I | i | İ | 1 | Ī | İ | İ | i i |
|---|------------------|-----------------|--------|------|------|------|-----|
| Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 51.88 | 0.64 | 0.07 | 0.06 | 0.05 | 0.02 | 0 |
| Number of Locked | in shares (XII) | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares | pledged or other | wise encumbered | (XIII) | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 3104415 | 38300 | 4486 | 3500 | 3225 | 1394 | 200 |
| Reason for not pro | viding PAN | | | | | | |
| Reason for not providing PAN | | | | | | | |

| | Individuals/Hindu undivided Family |
|---|--|
| Searial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 3155520 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 3155520 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 52.73 |
| Number of Voting | Rights held in each class of securities (IX) |
| Class eg:X | 3155520 |
| Class eg:y | |
| Total | 3155520 |
| Total as a % of Total Voting rights | 52.73 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |

| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
|---|--|
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 52.73 |
| Number of Locked | l in shares (XII) |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of Shares | pledged or otherwise encumbered (XIII) |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity shares held in dematerialized form (XIV) | 3155520 |
| Reason for not pro | viding PAN |
| Reason for not providing PAN | |

| | | Any Other (specify) | | |
|---|--|-------------------------------------|-------------------------------|--------------------------|
| Searial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | SIM PRABHA ESTATES & TRADING CO PVT LTD | HEM HOLDINGS AND TRADING LIMITED | PRABHA PLANTATIONS (P) LTD | |
| PAN (II) | AAACP2187J | AAACH1347Q | AAACP2511N | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 3 |
| No. of fully paid up equity shares held (IV) | 237500 | 48700 | 7400 | 293600 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 237500 | 48700 | 7400 | 293600 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.97 | 0.81 | 0.12 | 4.91 |
| Number of Voting | Rights held in each class of securities | s (IX) | | |
| Class eg: X | 237500 | 48700 | 7400 | 293600 |
| Class eg:y | | | | |
| Total | 237500 | 48700 | 7400 | 293600 |
| Total as a % of Total Voting rights | 3.97 | 0.81 | 0.12 | 4.91 |
| No. Of Shares Underlying Outstanding | | | | |

| 1 | ı | 1 | <u>.</u> | |
|---|---------------------------------------|-------|----------|--------|
| convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 3.97 | 0.81 | 0.12 | 4.91 |
| Number of Locked | l in shares (XII) | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of Shares | pledged or otherwise encumbered (XIII | I) | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 237500 | 48700 | 7400 | 293600 |
| Reason for not providing PAN | | | | |

| Individ | uals - ii. Individual shareholders holding non | ninal share capital in excess of Rs. 2 lakhs. |
|---|--|---|
| Searial No. | 1 | |
| Name of the Shareholders (I) | DHIREN SHEVANTILAL SHAH | Click here to go back |
| PAN (II) | AAEPS0922M | Total |
| No. of fully paid up equity shares held (IV) | 73341 | 73341 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 73341 | 73341 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.23 | 1.23 |
| Number of Voting | Rights held in each class of securities (IX) | |
| Class eg: X | 73341 | 73341 |
| Class eg:y | | |
| Total | 73341 | 73341 |
| Total as a % of Total Voting rights | 1.23 | 1.23 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |

| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) | | |
|---|-------------------|-------|
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.23 | 1.23 |
| Number of Locked | l in shares (XII) | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 73341 | 73341 |
| Reason for not pro | viding PAN | |
| Reason for not providing PAN | | |

| | Any Other (specify) | | | | | | |
|---|---------------------|------------------------|----------------|---------------------|---------------------|---|--|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | HUF | NRI – Non- Repat | NRI – Repat | Clearing Members | Bodies Corporate | Bodies Corporate | Bodies Corporate |
| Category / More than 1 percentage | Category | Category | Category | Category | Category | More than 1 percentage of shareholding | More than 1 percentage of shareholding |
| Name of the Shareholders (I) | | | | | | MOHUR INVESTMENTS AND CONSULTANCY PVT LTD | AEQUITAS INVESTMENT CONSULTANCY PVT LTD |
| PAN (II) | | | | | | AADCM7976R | AAKCA3641G |
| No. of the Shareholders (I) | 81 | 16 | 76 | 26 | 84 | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 107868 | 79359 | 173303 | 9389 | 647026 | 150000 | 115024 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 107868 | 79359 | 173303 | 9389 | 647026 | 150000 | 115024 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.8 | 1.33 | 2.9 | 0.16 | 10.81 | 2.51 | 1.92 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 107868 | 79359 | 173303 | 9389 | 647026 | 150000 | 115024 |
| Class eg:y | | | | | | | |
| Total | 107868 | 79359 | 173303 | 9389 | 647026 | 150000 | 115024 |

| Ì | ı | i | Ĭ | ı | ı | 1 | 1 |
|---|------------------------------|-------|-------|------|--------|--------|--------|
| Total as a % of Total Voting rights | 1.8 | 1.33 | 2.9 | 0.16 | 10.81 | 2.51 | 1.92 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.8 | 1.33 | 2.9 | 0.16 | 10.81 | 2.51 | 1.92 |
| Number of Locked | in shares (| XII) | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 107868 | 79359 | 65503 | 9389 | 626926 | 150000 | 115024 |
| Reason for not pro | Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | | |

| Any Other (specify) | | | | |
|---|--|--|--|--|
| Searial No. | | | | |
| Category | | | | |
| Category / More than 1 percentage | | | | |
| Name of the Shareholders (I) | Click here to go back | | | |
| PAN (II) | Total | | | |
| No. of the Shareholders (I) | 283 | | | |
| No. of fully paid up equity shares held (IV) | 1016945 | | | |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 1016945 | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 17 | | | |
| Number of Voting | Rights held in each class of securities (IX) | | | |
| Class eg: X | 1016945 | | | |
| Class eg:y | | | | |
| Total | 1016945 | | | |
| Total as a % of Total Voting rights | 17 | | | |
| No. Of Shares Underlying Outstanding | | | | |

| convertible securities (X) | | | | |
|---|------------------------------|--|--|--|
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 17 | | | |
| Number of Locked | in shares (XII) | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 889045 | | | |
| Reason for not pro- | Reason for not providing PAN | | | |
| Reason for not providing PAN | | | | |

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